

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

IN THE MATTER OF: )

WACHOVIA CAPITAL MARKETS, )  
LLC )  
301 South College Street )  
Charlotte, North Carolina )  
(CRD#: 126292) )

Case No. 0400407

Respondent. )

**ORDER OF DISMISSAL**

TO THE RESPONDENT: WACHOVIA CAPITAL MARKETS, LLC  
(CRD#: 126292)  
301 South College Street  
Charlotte, North Carolina

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing issued on the 2<sup>nd</sup> day of March, 2007, against Respondent Wachovia Capital Markets, LLC, may be dismissed.

IT IS HEREBY ORDERED: The Notice of Hearing against Wachovia Capital Markets, LLC, dated the 2<sup>nd</sup> day of March, 2007, is hereby dismissed, and the Hearing scheduled for 25<sup>th</sup> of April, 2007, is hereby cancelled.

Dated: This 2<sup>nd</sup> th day of March 2007.

  
Jesse White  
Secretary of State  
State of Illinois